

06/04/98

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PTO/SB/29 (12/97)

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A

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	8449-041	Total Pages	81
<i>First Named Inventor or Application Identifier</i>			
PRAMOD K. SRIVASTAVA			
Express Mail Label No.		EM 490 491 350 US	

APPLICATION ELEMENTS*See MPEP chapter 600 concerning utility patent application contents.*

1. Fee Transmittal Form
Submit an original, and a duplicate for fee processing

2. Specification [71 Pages] +
Table of Contents [3 Pages]
Total Pages 74
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R&D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description of the Invention (including drawings, *if filed*)
- Claim(s)
- Abstract of the Disclosure

3. Drawing(s) *(35 USC 113)* [Total Sheets 5]

4. Oath or Declaration [Total Sheets 2]

- a. Newly executed (original or copy)
- b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

- i. **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33 (b).

5. Incorporation By Reference *(useable if Box 4b is checked)*
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
 Continuation Divisional Continuation-in-part (CIP) of prior application No: 08/527,391 filed September 13, 1995.

18. CORRESPONDENCE ADDRESS

Customer Number or Bar Code Label 20583 Correspondence address below

(Insert Customer No. or Attach bar code label here)

NAME			
ADDRESS			
CITY	STATE	ZIP CODE	
COUNTRY	TELEPHONE	FAX	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Anticipated Classification of this application:

Prior application: Examiner G. Bansal 
 Art Unit 1642

Assistant Commissioner for Patents
 Box PATENT APPLICATION
 Washington, D.C. 20231

Sir:

This is a request for filing a continuation divisional application under 37 CFR § 1.53(b), of pending prior application no. 08/527,391 filed on September 13, 1995

of Pramod K. Srivastava

(inventor(s) currently of record in prior application)

for COMPOSITIONS AND METHODS USING COMPLEXES OF HEAT SHOCK PROTEINS AND ANTIGENIC MOLECULES FOR THE TREATMENT AND PREVENTION OF NEOPLASTIC DISEASES
 (title of invention)

The filing fee is calculated below:

CLAIMS AS FILED IN THE PRIOR APPLICATION INCLUDING ANY CLAIM MODIFICATIONS MADE BELOW**PATENT APPLICATION FEE VALUE**

TYPE	NO. FILED	LESS	EXTRA	EXTRA RATE	FEE
Total Claims	2	-20	0	\$22.00 each	0.00
Independent	2	-3	0	\$82.00 each	0.00
			Basic Fee		790.00
			Multiple Dependency Fee If Applicable (\$270.00)		
			Total		790.00
			50% Reduction for Independent Inventor, Nonprofit Organization or Small Business Concern		-
			Total Filing Fee		395.00
				\$	395.00

2. Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
3. Cancel in this application original claims 1-59 of the prior application by preliminary amendment before calculating the filing fee (At least one original independent claim must be retained for filing purposes.)

PENNIE & EDMONDS LLP DOCKET NO. 8449-041

4. Amend the specification by inserting before the first line the following sentence: This is a continuation, division, of application No. 08/527,391, filed September 13, 1995.
- 5a. Transfer the drawings from the prior application to this application and abandon the prior application as of the filing date accorded this application. A duplicate copy of this sheet is enclosed for filing in the prior application file.
- 5b. New formal drawings are enclosed.
- 5c. Informal drawings are enclosed.
- 6a. Priority of application no. filed on in is claimed under 35 U.S.C. §119.
- 6b. The certified copy has been filed in prior application no. , filed .
7. The prior application is assigned of record to Fordham University, 441 East Fordham Road, Bronx, New York 10458.
- 8a. The Power of Attorney appears in the original papers in the prior application no. 08/527,391, filed September 13, 1995.
- 8b. A copy of the Power of Attorney in prior application no. 08/527,391, filed September 13, 1995 is enclosed.
9. This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no. 08/527,391, filed on September 13, 1995 be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. 08/527,391 is enclosed.
10. The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. 08/527,391 is the same as the content of the computer readable form submitted in application no. 08/527,391.
11. Additional enclosures or instructions.

Respectfully submitted,

June 4, 1998

S. Leslie Misrock 18,872
S. Leslie Misrock (Reg No.)

By: Adriane M. Antler 32,605
Adriane M. Antler (Reg No.)

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